

## Self-assessment form

<b>Compliance with the Complaint Handling Code</b>			
<b>1</b>	<b>Definition of a complaint</b>	<b>Yes</b>	<b>No</b>
	<p>Does the complaints process use the following definition of a complaint?</p> <p><i>An expression of dissatisfaction, however made, about the standard of service, actions or lack of action by the organisation, its own staff, or those acting on its behalf, affecting an individual resident or group of residents.</i></p>	√	
	Does the policy have exclusions where a complaint will not be considered?	√	
	<p>Are these exclusions reasonable and fair to residents?</p> <p>Evidence relied upon: The first 3 are in the code, the 4<sup>th</sup> for persistent or vexatious complainants the complaints will be reviewed for legal obligations.</p>	√	
<b>2</b>	<b>Accessibility</b>		
	Are multiple accessibility routes available for residents to make a complaint?	√	
	Is the complaints policy and procedure available online?	√	
	Do we have a reasonable adjustments policy?	However we automatically would	√
	Do we regularly advise residents about our complaints process?	We will do	√
<b>3</b>	<b>Complaints team and process</b>		
	Is there a complaint officer or equivalent in post?	√	
	Does the complaint officer have autonomy to resolve complaints?	√	
	Does the complaint officer have authority to compel engagement from other departments to resolve disputes?	√	
	If there is a third stage to the complaints procedure are residents involved in the decision making?	N/A 2 stages	
	Is any third stage optional for residents?	Ombudsman	
	Does the final stage response set out residents' right to refer the matter to the Housing Ombudsman Service?	√	
	Do we keep a record of complaint correspondence including correspondence from the resident?	√	
	At what stage are most complaints resolved?	1	
<b>4</b>	<b>Communication</b>		
	Are residents kept informed and updated during the complaints process?	√	

	Are residents informed of the landlord's position and given a chance to respond and challenge any area of dispute before the final decision?	They will be.	
	Are all complaints acknowledged and logged within five days?	√	
	Are residents advised of how to escalate at the end of each stage?	They will be	
	What proportion of complaints are resolved at stage one?	100%	
	What proportion of complaints are resolved at stage two?	0	
	What proportion of complaint responses are sent within Code timescales?  <ul style="list-style-type: none"> <li>• Stage one Stage one (with extension)</li> <li>• Stage two Stage two (with extension)</li> </ul>	95% 5%	
	Where timescales have been extended did we have good reason?	√	
	Where timescales have been extended did we keep the resident informed?	√	
	What proportion of complaints do we resolve to residents' satisfaction	Not recorded historica	
<b>5</b>	<b>Cooperation with Housing Ombudsman Service</b>		
	Were all requests for evidence responded to within 15 days?	N/A	
	Where the timescale was extended did we keep the Ombudsman informed?	N/A	
<b>6</b>	<b>Fairness in complaint handling</b>		
	Are residents able to complain via a representative throughout?	√	
	If advice was given, was this accurate and easy to understand?	√	
	How many cases did we refuse to escalate?  What was the reason for the refusal?	0	
	Did we explain our decision to the resident?	N/A	
<b>7</b>	<b>Outcomes and remedies</b>		
	Where something has gone wrong are we taking appropriate steps to put things right?	√	
<b>8</b>	<b>Continuous learning and improvement</b>		
	What improvements have we made as a result of learning from complaints?  Training on communication has been given	See across	
	How do we share these lessons with:  a) residents?	N/A	

	<p>b) the board/governing body?</p> <p>c) In the Annual Report?</p>		
	Has the Code made a difference to how we respond to complaints?	It will do. (minimal)	
	<p>What changes have we made?</p> <p>This new policy and we will accept verbal complaints.</p>	See across	